

# PHASE TRANSFORMATIONS

- ❑ Nucleation
- ❑ Growth
- ❑ APPLICATIONS
  - Transformations in Steel
  - Precipitation
  - Solidification & crystallization
  - Glass transition
  - Recovery, Recrystallization & Grain growth

*Phase Transformations in Metals and Alloys*

David Porter & Kenneth Esterling

Van Nostrand Reinhold Co. Ltd., New York (1981)

**Based on  
Mass  
transport**

## PHASE TRANSFORMATIONS

Diffusional

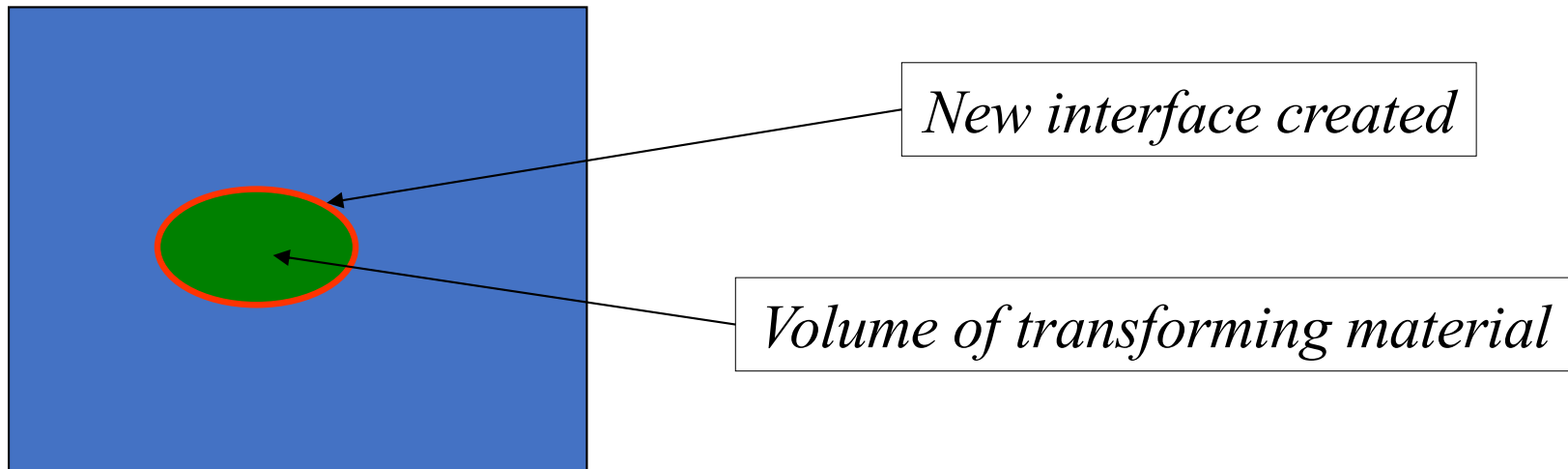
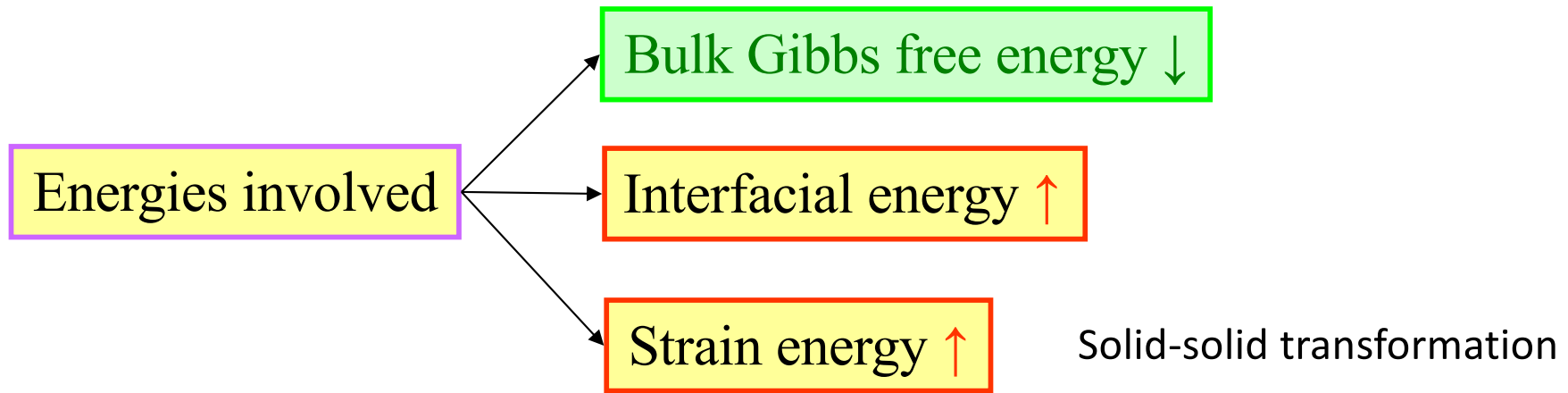
Martensitic

**Based on  
order**

## PHASE TRANSFORMATIONS

1<sup>nd</sup> order  
*nucleation & growth*

2<sup>nd</sup> order  
*Entire volume transforms*



□ The concepts are illustrated using solidification of a metal

1<sup>nd</sup> order  
*nucleation & growth*

Transformation  
 $\alpha \rightarrow \beta$



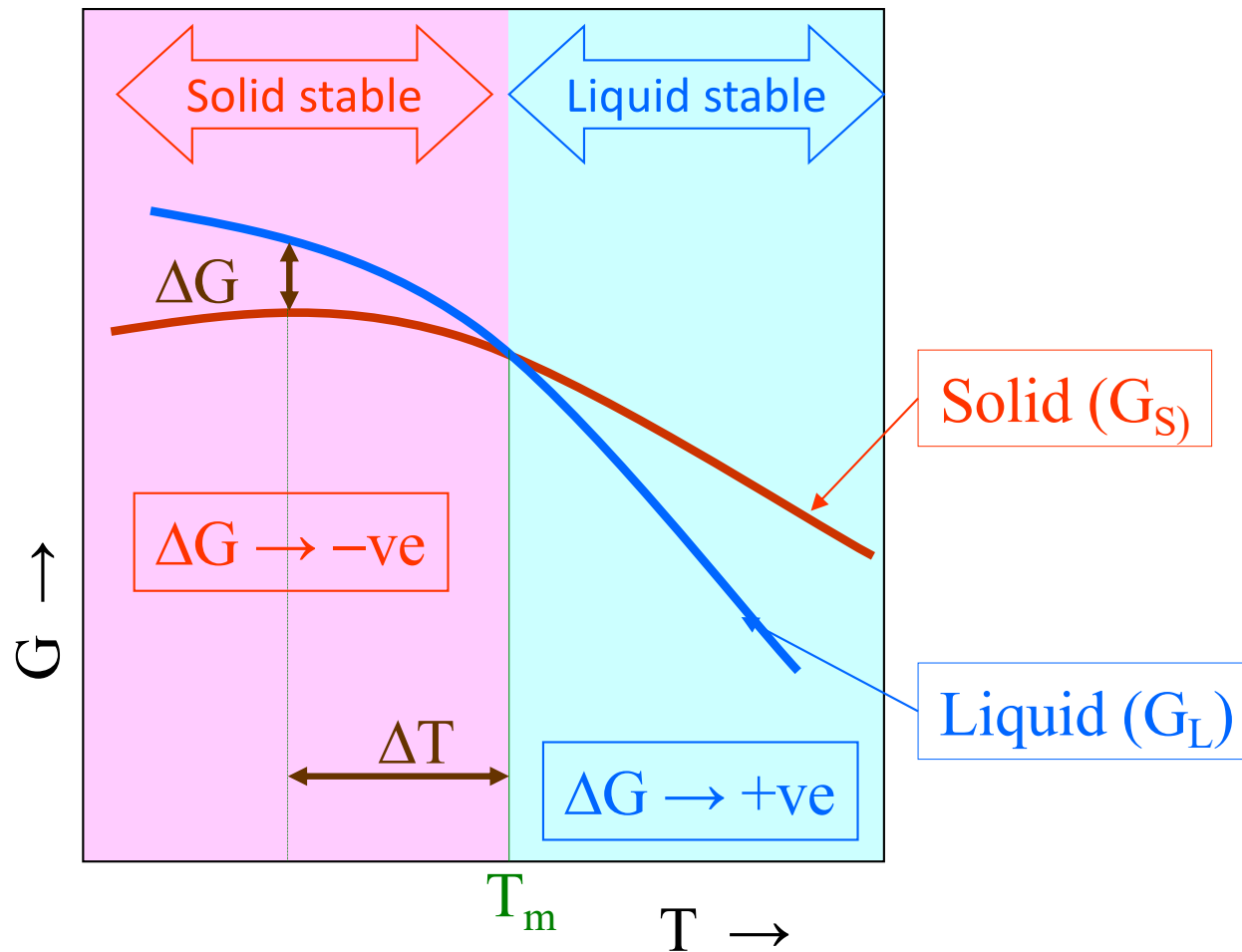
Nucleation  
of  
 $\beta$  phase



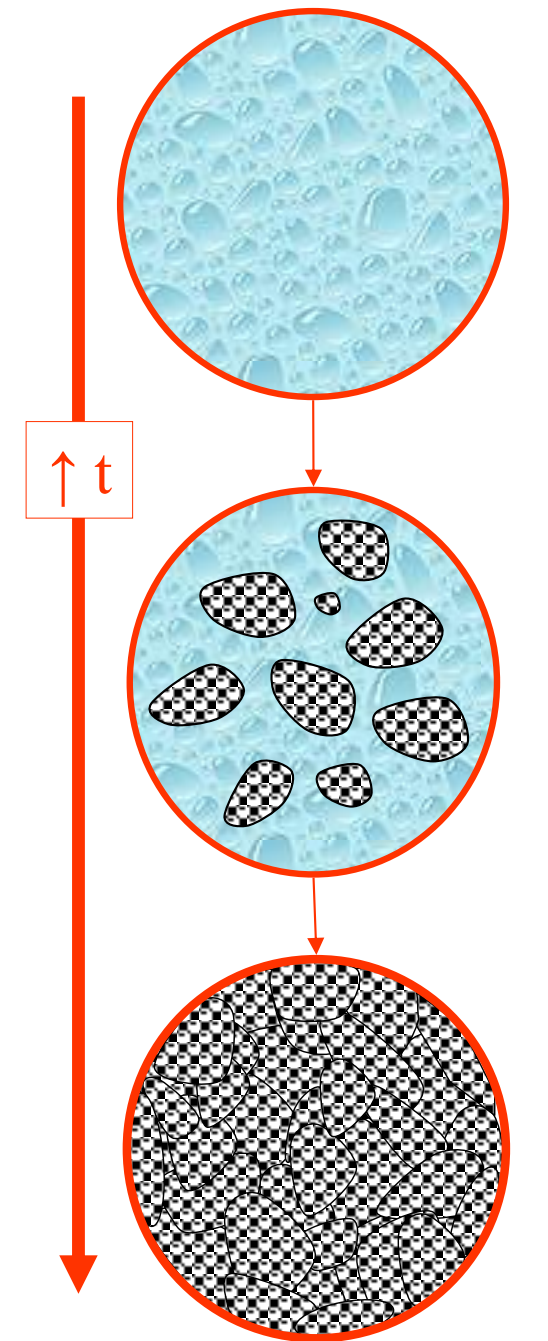
Growth  
till  
 $\alpha$  is  
exhausted

## Liquid $\rightarrow$ Solid phase transformation

- On cooling *just* below  $T_m$  solid becomes stable
- *But* solidification does not start
- E.g. liquid Ni can be undercooled 250 K below  $T_m$



$\Delta T$  - Undercooling



*"For sufficient Undercooling"*

Solidification

=

Nucleation

+

Growth

Nucleation

Nucleation

Homogenous

Heterogenous

- Liquid → solid  
*walls of container, inclusions*
- Solid → solid  
*inclusions, grain boundaries, dislocations, stacking faults*

- ❑ The probability of nucleation occurring at point in the parent phase is same throughout the parent phase
- ❑ In heterogeneous nucleation there are some preferred sites in the parent phase where nucleation can occur

# Homogenous nucleation

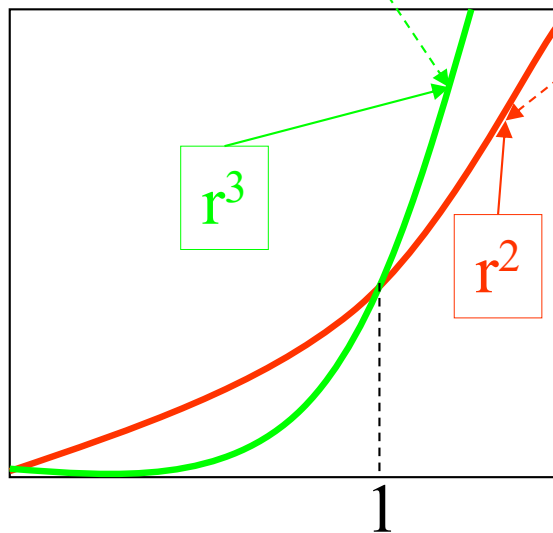
Neglected in L → S transformations

Free energy change on nucleation □

Reduction in bulk free energy □ increase in surface energy □ increase in strain energy

$$\Delta G \quad \square \quad (\text{Volume}) \cdot (\Delta G_v) \quad \square \quad (\text{Surface}) \cdot (\gamma)$$

$$\Delta G \quad \square \quad \left( \frac{4}{3} \pi r^3 \right) \cdot (\Delta G_v) \quad \square \quad 4\pi r^2 \cdot (\gamma)$$



$$\Delta G_v \quad \square \quad f(\Delta T)$$

$$\Delta G = \left( \frac{4}{3} \pi r^3 \right) \cdot (\Delta G_v) - 4 \pi r^2 \cdot (\gamma)$$

- By setting  $d\Delta G/dr = 0$  the critical values (corresponding to the maximum) are obtained (denoted by superscript \*)
- Reduction in free energy is obtained only after  $r_0$  is obtained

$$\frac{d\Delta G}{dr} = 0$$



$$r_1^* = 0$$

Trivial

$$r_2^* = -\frac{2\gamma}{\Delta G_v}$$

As  $\Delta G_v$  is -ve,  $r^*$  is +ve

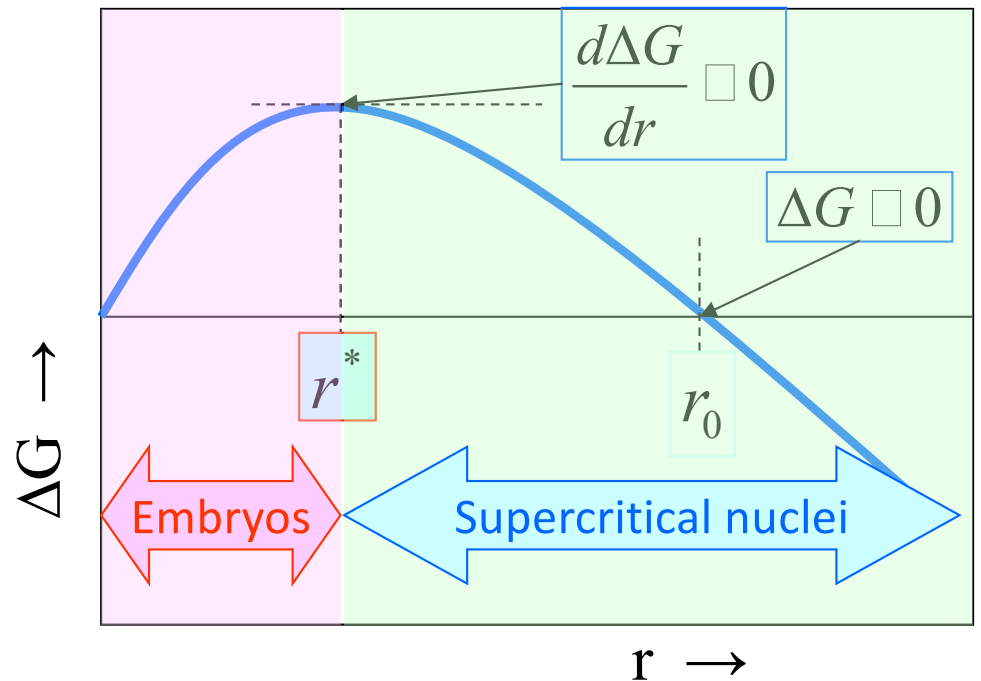
$$r^* = -\frac{2\gamma}{\Delta G_v}$$

$$\Delta G^* = \frac{16}{3} \pi \frac{\gamma^3}{\Delta G_v^2}$$

$$\Delta G = 0$$

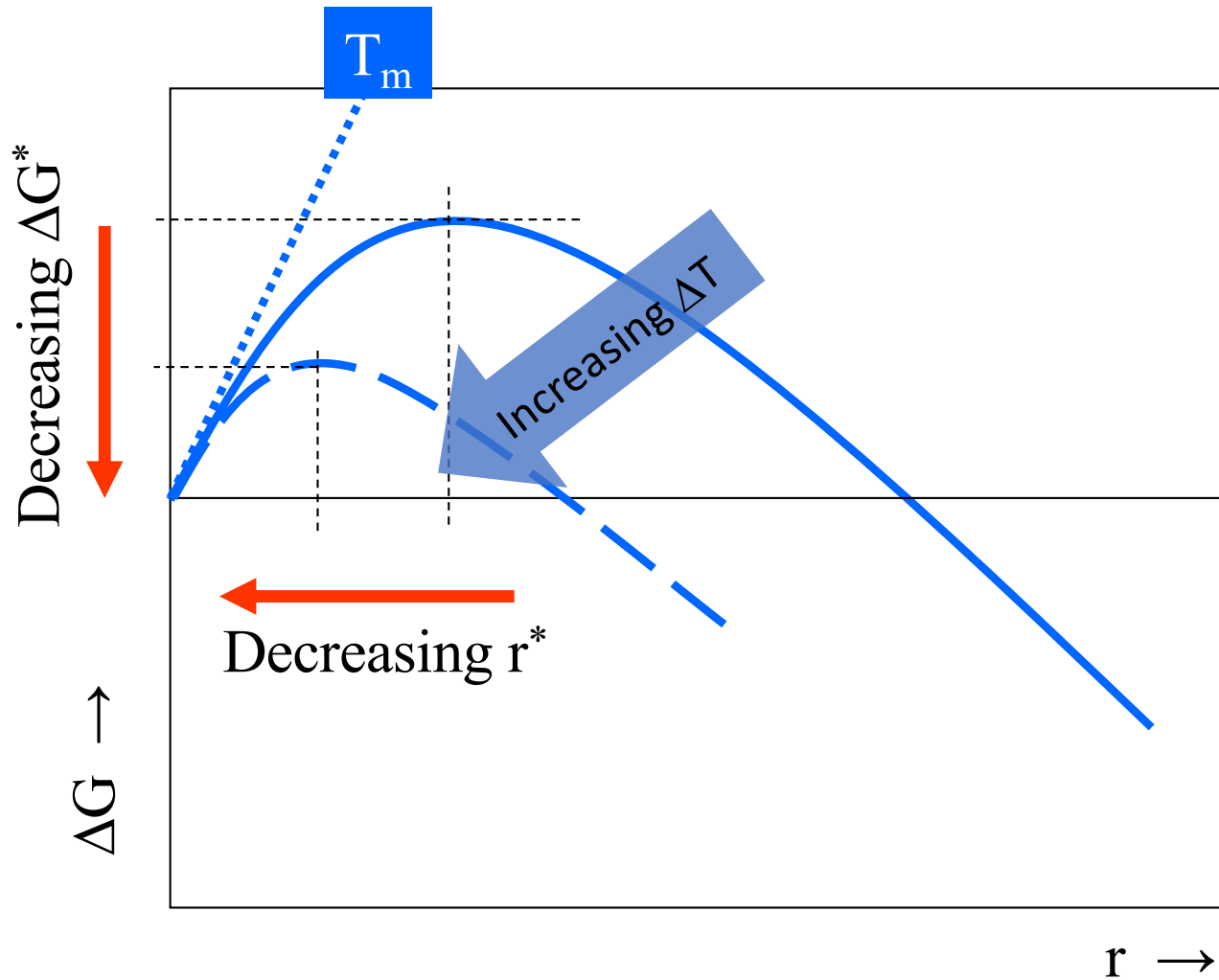


$$r_0 = -\frac{3\gamma}{\Delta G_v}$$





$\Delta G_v \propto f(\Delta T)$  The bulk free energy reduction is a function of undercooling



Turnbull approximation

$$\Delta G^* \propto \frac{16}{3} \pi \gamma^3 \frac{T_m^2}{\Delta T^2 \Delta H^2}$$

Rate of nucleation

=

No. of critical sized particles

x

Frequency with which they become supercritical

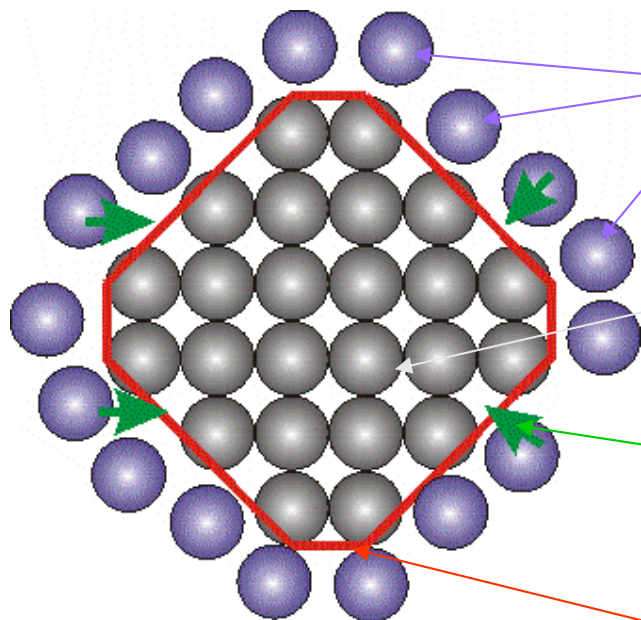
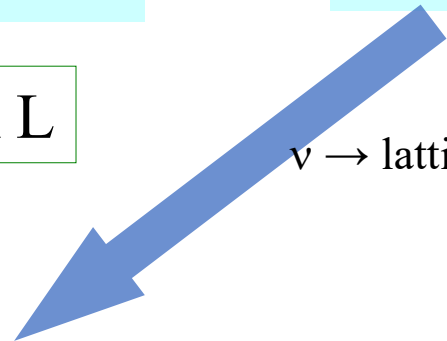
$$I \square \frac{dN}{dt}$$

$$N^* \square N_t e^{\left(-\frac{\Delta G^*}{kT}\right)}$$

$$v' \square s^* v e^{\left(-\frac{\Delta H_d}{kT}\right)}$$

No. of particles/volume in L

$v \rightarrow$  lattice vibration frequency ( $\sim 10^{13}$  /s)



$s^*$  atoms of the liquid facing the nucleus

Critical sized nucleus

Jump taking particle to supercriticality  
 $\rightarrow$  nucleated (enthalpy of activation =  $\Delta H_d$ )

Critical sized nucleus